## Assignment \#4

Tuesday, February 16: Read Rosen and Write Essay
Sections 9.6, 9.7, 9.8 -- Pages 647-672

Thursday, February 18: Read Rosen and Write Essay
Section 10.1, 10.2, 10.3 -- Pages 683-722

## Assignment \#4

Homework Exercises
Section 9.1: Problem 31
Section 9.2: Problems 18, 28
Section 9.3: Problems 30, 31
Section 9.4: Problems 54, 55
Section 9.5: Problems 10, 26, 27, 46, 54
Extra Credit Problem: See Comp 280 web page

## Motivation for Trees

Many, Many Applications

Fundamental Data Structure

Neat Algorithms

Simpler than Graphs

## Examples and Animations

http://oneweb.utc.edu/~Christopher-Mawata/petersen/

## Definitions

Tree

- Connected graph with no simple circuits.
- Graph with a unique path between any two vertices.


## Rooted Tree

- Explicit Definition
-- A tree with one vertex called the root
- Recursive Definition
-- A single vertex $r$ is a rooted tree with root $r$.
-- If $T_{1}, \ldots, T_{n}$ are rooted trees with roots $r_{1}, \ldots, r_{n}$ and $r$ is a new vertex, then the graph with edges joining $r$ to $r_{1}, \ldots, r_{n}$ is a rooted tree with root $r$.


## Counting Theorems

Theorem 1: $e=v-1$
Proof: By induction on the number of vertices. (Inductive Step: Remove a leaf.)

Theorem 2: \# leaves $\leq m^{\text {height }}$ (m-ary trees)
Proof: By induction on the height of the tree. (Inductive Step: Remove the root.)

Theorem 3: height $\geq \log _{m}$ (\# leaves)
Proof: This result is just a restatement of Theorem 2.

## Standard Terminology

Nodes Trees

Trees
Ancestor Level

Level
ParentChildSiblingDescendentLeaf
Internal Vertex (Node)

Height
Balanced
N -ary
Binary
-- Left Subtree / Child
-- Right Subtree / Child

## Examples

Family Trees
Organization Charts
Computer File Systems
Recursive Calls
-- Neville's Algorithm
-- Bezier Subdivision
Parallel Processors
Computer Graphics
-- Constructive Solid Geometry (CSG-Trees)
-- Binary Space Partition Trees (BSP-Trees)

## Constructive Solid Geometry

## Primitive Solids



BOX


SPHERE


CYLINDER


CONE


TORUS

Boolean Operations

- Union
- Intersection
- Difference

CSG-Tree

- Leaves $=$ Primitive Solids
- Internal Nodes $=$ Boolean Ops or Transformations
- Root $=$ Solid


## CSG-Tree



Spherical tank with two cylindrical pipes
CSG tree: union of 1 sphere and 2 cylinders

## CSG-Tree



Solid block with three cylindrical holes,
CSG tree: subtract three cylinders from box

## Applications

Minimal Spanning Trees
-- Minimizing Network Cost
Searching and Sorting
-- BSP-Trees (Computer Graphics)
Searching Arbitrary Trees
-- Breadth First Search
-- Depth First Search
-- Back Tracking
-- Graph Coloring Algorithm
Data Compression (Efficient Coding)
-- Prefix Coding -- Horner’s Method
-- Huffman Coding
Game Trees
-- Min-Max Strategy

## Binary Search Trees

Applications

- Fast Searching and Sorting any Ordered Collection
-- Dictionaries
-- Telephone Books
- Computer Graphics / Computer Games
-- Hidden Surface Algorithms
-- Fast Polygon Shading (BSP Trees)


## Examples and Animations

http://www.cosc.canterbury.ac.nz/mukundan/dsal/BSTNew.html

## Algorithms for Binary Search Trees

Sorting
If $v<r o o t$, Insert into Left Subtree
Else $v>$ root, Insert into Right Subtree

Searching
If $v=$ root, FOUND
Else if $v<$ root, Search Left Subtree
Else $v>$ root , Search Right Subtree

## Binary Space Partitioning Trees (BSP-Trees)

## Algorithm for Generating a BSP-Tree

- Select any polygon (plane) in the scene for the root.
- Partition all the other polygons in the scene to the back (left subtree) or the front (right subtree).
- Split any polygons lying on both sides of the root.
- Build the left and right subtrees recursively.


## BSP-Tree Rendering Algorithm (In Order Tree Traversal)

- If the eye is in front of the root, then
-- Display the left subtree (behind)
-- Display the root
-- Display the right subtree (front)
- If the eye is in back of the root, then
-- Display the right subtree (front)
-- Display the root
-- Display the left subtree (back)


## Binary Space Partitioning Trees (continued)

Advantages

- Can use the same BSP-tree for different positions of the eye.
- When we want to move around in a scene, the BSP-tree is the preferred approach to detecting hidden surfaces.


## Binary Space Partitioning Trees

http://maven.smith.edu/~mcharley/bsp/createbsptree.html

## Ordered Tree Traversal

Pre Order

- Visit the Root
- Pre Order Traverse the Children $T_{1}, \ldots, T_{n}$

In Order

- In Order Traverse $T_{1}$
- Visit the Root
- In Order Traverse the Children $T_{2}, \ldots, T_{n}$

Post Order

- Post Order Traverse the Children $T_{1}, \ldots, T_{n}$
- Visit the Root


## Applications

CSG Tree Evaluation Algorithm

- In Order Tree Traversal

Arithmetic and Logical Expressions

- Infix Form
- Prefix Form (Polish Notation)
- Postfix Form (Reverse Polish Notation)

BSP-Tree Rendering Algorithm

- Back to Front -- In Order
- From to Back -- Reverse In Order


## CSG Tree Evaluation Algorithm -- In Order Tree Traversal



Spherical tank with two cylindrical pipes
CSG tree: union of 1 sphere and 2 cylinders

## BSP-Tree Rendering Algorithm

- If the eye is in front of the root (In Order Tree Traversal)
-- Display the left subtree (behind)
-- Display the root
-- Display the right subtree (front)
- If the eye is behind the root (Reverse Order Tree Traversal)
-- Display the right subtree (front)
-- Display the root
-- Display the left subtree (back)


## Tree Searching Algorithms

## Depth First Search (Back Tracking)

- Base Case: Search the root.
- Recursion: For each vertex adjacent to the root, perform Depth First Search.
- STOP When object is found or all vertices have been searched.


## Breadth First Search

- Level 0: Search the root.
- Level 1: Search all the children of the root.
- Level $n$ : Search all the children incident to parents on level $n-1$.
- STOP When object is found or all vertices have been searched.

Complexity

- $\quad O\left(n^{2}\right)=O(e)$


## Tree Searching Algorithms

Depth First Search (Back Tracking)
http://www.rci.rutgers.edu/~cfs/472_html/AI_SEARCH/SearchAni mations.html

Breadth First Search
http://www.rci.rutgers.edu/~cfs/472_html/AI_SEARCH/SearchAni mations.html

## Applications

Depth First Search -- Backtracking
n Queen Problem
http://www.apl.jhu.edu/~hall/NQueens.html
http://www.animatedrecursion.com/advanced/the_eight_queens_pr oblem.html

## Applications

- Graph Coloring http://oneweb.utc.edu/~ChristopherMawata/petersen/lesson8.htm
- Web Spiders


## Prefix Coding



Efficient, Non-Redundant Coding

## Horner's Method



Fast Polynomial Evaluation -- $O(n)$ Multiplications

## Huffman Coding

Coding Algorithm

- Assign probability to each symbol
- Combine trees (and their probabilities) with smallest probabilities
-- Smaller probability to right $\rightarrow 1$
-- Larger probability to left $\rightarrow 0$
- Symbol code $=$ unique path of 0 's and 1 's from root

Proof of Optimality

- Homework


## Huffman Coding

http://www.cs.duke.edu/csed/poop/huff/info/
http://www.maths.abdn.ac.uk/~igc/tch/mx4002/notes/node59.html

## Universal Address System



Lexicographic Order (Depth First)

## Interval Subdivision



## Interval Subdivision



## Bezier Subdivision


$P_{b_{1} \cdots b_{n}} \leftrightarrow$ Control Points for the Interval $\left[. b_{1} \cdots b_{n}, . b_{1} \cdots b_{n}+2^{-n}\right]$

## Game Trees

- Vertices $\leftrightarrow$ Positions
- Edges $\leftrightarrow$ Legal Moves
- Leaves $\leftrightarrow$ Final Positions

$$
\begin{array}{ll}
- \text { Win }=1 & \text { (First Player) } \\
- \text { Draw }=0 & \text { (First Player) } \\
- \text { Lose }=-1 & \text { (First Player) }
\end{array}
$$

## Game Trees

http://www.youtube.com/watch?v=SO-oXQgvJt4
http://www.youtube.com/watch?v=Unh51VnD-hA

## Min-Max Strategy

Payoff -- Recursive Definition

- payoff(leaf $)=$ value at leaf
- payoff $($ node at even level $)=\max ($ payoff to children $)$
- payoff(node at odd level $)=\min ($ payoff to children $)$

Min-Max Strategy

- First Player -- Moves to Child with Maximum Payoff
- Second Player -- Moves to Child with Minimum Payoff


## Min-Max Strategy (continued)

Theorem: The Min-Max Strategy is Optimal for both Players
Payoff at each vertex represents payoff to first player if game starts in this vertex and both players play min-max strategy.

Proof: By induction on level.

