

# System-Level I/O: Basics

COMP 321

Dave Johnson



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## Doing I/O Directly with Just Kernel Calls

***The basis on which all I/O by all programs is built***

- Example: C language standard provides the “Standard I/O” library
  - But it is just implemented on top of system-level I/O kernel calls
- I/O **can’t** be done without going through these kernel calls
  - Due to user mode / kernel mode separation, only the kernel can do I/O

***Why not just always use the more familiar Standard I/O user library calls?***

- Doing the I/O kernel calls yourself gives you more control
- Understanding system-level I/O kernel calls is necessary in order to really understand higher-level user I/O libraries such as Standard I/O

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## A Simple Example of System-Level I/O

```
#include <fcntl.h>
#include <stdlib.h>
#include <unistd.h>
```

Use, e.g., “man 2 open” to  
see what header files to  
#include for each kernel call

```
int main()
{
    int fd;
    ssize_t nch;
    char buf[4096];

    fd = open("message", O_RDONLY);
    nch = read(fd, buf, sizeof(buf));
    write(1, buf, nch);
    return 0;
}
```

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## A Simple Example of System-Level I/O

```
#include <fcntl.h>
#include <stdlib.h>
#include <unistd.h>
```

```
int main()
{
    int fd;
    ssize_t nch;
    char buf[4096];

    fd = open("message", O_RDONLY);
    nch = read(fd, buf, sizeof(buf));
    write(1, buf, nch);
    return 0;
}
```

Returns an int  
file descriptor  
number

The name of the  
file to open

*Should check that open() did  
not return an error, but  
omitted here for simplicity*

Open the file for  
read-only mode

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## A Simple Example of System-Level I/O

```
#include <fcntl.h>
#include <stdlib.h>
#include <unistd.h>
```

```
int main()
{
```

```
    int fd;
    ssize_t nch;
    char buf[4096];
```

```
    fd = open("message", O_RDONLY);
    nch = read(fd, buf, sizeof(buf));
    write(1, buf, nch);
    return 0;
```

```
}
```

Returns the number  
of bytes actually read

Read from this file

*Should check that  
read() did not  
return an error, but  
omitted here for  
simplicity*

Maximum  
number of bytes  
to read

Into this buffer

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## A Simple Example of System-Level I/O

```
#include <fcntl.h>
#include <stdlib.h>
#include <unistd.h>
```

```
int main()
{
```

```
    int fd;
    ssize_t nch;
    char buf[4096];
```

```
    fd = open("message", O_RDONLY);
    nch = read(fd, buf, sizeof(buf));
    write(1, buf, nch);
    return 0;
```

```
}
```

Write to file  
descriptor number 1

Write this number  
of bytes

*Should check that  
write() did not  
return an error, but  
omitted here for  
simplicity*

Write from this buffer

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## What is This File Descriptor Number 1 Stuff?

**By convention, file descriptors 0, 1, and 2 have defined existing uses**

- 0 = standard input file
- 1 = standard output file
- 2 = standard error file
- Typically, **these are all already open**, and to the same place = the terminal
- But these can be redirected, including on the command line by the shell, e.g.,
  - `./program < file`     # redirect file descriptor 0 instead from “file”
  - `./program > file`     # redirect file descriptor 1 instead to “file”
- Even after these redirections, errors (file descriptor 2) show up on the screen
- Should really use constants defined in `<unistd.h>` (but **0, 1, 2** are **well known**)
  - `STDIN_FILENO`, `STDOUT_FILENO`, `STDERR_FILENO`

## Opening a File

```
int open(const char *pathname, int flags);
```

**Opens a file for the indicated (i.e., flags) type of accesses**

- Set flags to one of
  - `O_RDONLY`: open the file for reading from only
  - `O_WRONLY`: open the file for writing to only
  - `O_RDWR`: open the file for reading from and/or writing to
- Returns the **lowest numbered** file descriptor number that is not currently open in this process to something else
- Returns -1 on any error and sets global variable `errno` to indicate which error

## Opening a File

```
int open(const char *pathname, int flags);
```

*The flags argument may also include other bits, such as*

- O\_APPEND: On each write() to this open fd, always move to the end of the file first (every write() only appends to the file)
- O\_TRUNC: If the file exists (and if possible), truncate the file to empty on the open()
- Specify flags as the “or” of what you need, e.g.,
  - open(name, O\_RDWR | O\_APPEND)
  - open(name, O\_WRONLY | O\_TRUNC)

## Creating a File

```
int creat(const char *pathname, mode_t mode);  
int open(const char *pathname, int flags, mode_t mode);
```

*Creates a new, empty file, returning a file descriptor number*

- On open(), if creating a new file (e.g., mode includes O\_CREAT), then the mode argument gives the new file’s protection (discussed later)
  - Otherwise, mode on open() is ignored or can be omitted
  - Calling creat() is equivalent to calling open() with flags = (O\_WRONLY | O\_CREAT | O\_TRUNC)
- Returns the **lowest numbered** file descriptor number that is not currently open in this process to something else
- Returns -1 on any error and sets global variable errno to indicate which error

## The Current File Offset in an Open File Descriptor

*Each open file descriptor has an associated position (i.e., offset) within the file*

- The model is *something like* accessing a file stored on magnetic tape, such as



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## The Current File Offset in an Open File Descriptor

*Each open file descriptor has an associated position (i.e., offset) within the file*

- The current file offset is initialized to 0 (i.e., the beginning of the file) when the file descriptor is opened (e.g., from open or creat)
- A **single** current fd offset is used jointly for both reading and writing this fd
  - Any **read** from this fd advances the fd's offset by the number of bytes actually **read**
  - Any **write** to this fd advances **this same** offset by the number of bytes actually **written**
- Example: repeated reading from the file sequentially transfers each next part of the file, until reaching the end of the file

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## Reading a File

```
ssize_t read(int fd, void *buf, size_t count);
```

***Reads from the contents of a file into memory beginning at address buf***

- The file must already be open; fd specifies which file to read from
- File data is transferred starting at fd current offset, sequentially into buf
- The maximum number of bytes to read is given by count
  - Tries to read requested count, returns the number of bytes actually read
  - Number read may be less than requested (a “**short count**”)
  - This is **not** an error! We’ll talk more about this later
- Returns 0 if fd was already positioned at the end of the file (no more to read)
- Returns -1 on any error and sets global variable errno to indicate which error

## Writing a File

```
ssize_t write(int fd, const void *buf, size_t count);
```

***Writes into the contents of a file from memory beginning at address buf***

- The file must already be open – fd specifies which file to write to
- File data is transferred sequentially from buf, starting at fd current position
- The maximum number of bytes to write is given by count
  - Tries to write requested count, returns the number of bytes actually written
  - Number written may be less than requested (a “**short count**”)
  - This is **not** an error! We’ll talk more about this later
- Returns -1 on any error and sets global variable errno to indicate which error

```
#include <stdio.h>
#include <unistd.h>
```

```
int main()
{
```

```
    char c;
    ssize_t nch;
```

```
    while ((nch = read(STDIN_FILENO, &c, 1)) == 1)
        write(STDOUT_FILENO, &c, 1);
```

```
    if (nch == 0)
        printf("Stopped at end of file.\n");
    else if (nch < 0)
        printf("Stopped on read error.\n");
```

```
    return 0;
```

```
}
```

***Very inefficient since it does two kernel calls for each character being copied***

Reads from standard input, 1 byte at a time

Writes to standard output, 1 byte at a time

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```
#include <stdio.h>
#include <unistd.h>
#define SIZE 4096
```

```
int main()
{
```

```
    char buff[SIZE];
    ssize_t nch;
```

```
    while (1) {
        if ((nch = read(STDIN_FILENO, buff, SIZE)) <= 0) break;
        write(STDOUT_FILENO, buff, nch);
    }
```

```
    if (nch == 0)
        printf("Stopped at end of file.\n");
    else if (nch < 0)
        printf("Stopped on read error.\n");
    return 0;
```

```
}
```

Reads from standard input, up to SIZE bytes at a time

Writes to standard output, in the sizes that were read

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```
#include <fcntl.h>
#include <stdio.h>
#include <unistd.h>
#define SIZE 4096
```

```
int main()
{
    char buff[SIZE];
    ssize_t nch;
    int in_fd, out_fd;

    in_fd = open("input", O_RDONLY);
    out_fd = open("output", O_WRONLY | O_CREAT | O_TRUNC, 0666);
    while (1) {
        if ((nch = read(in_fd, buff, SIZE)) <= 0) break;
        write(out_fd, buff, nch);
    }

    return 0;
}
```

Opens "input" to read from  
(opens read-only)

Opens "output" to write to (opens for  
writing) – if "output" doesn't exist, it is  
created, or otherwise it is truncated

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```
#include <fcntl.h>
#include <unistd.h>
int main()
{
```

```
    char c;
    ssize_t nch;
    int in_fd1, in_fd2, out_fd;

    in_fd1 = open("input", O_RDONLY);
    in_fd2 = open("input", O_WRONLY);
    out_fd = open("output", O_WRONLY | O_CREAT | O_TRUNC, 0666);

    while (1) {
        if ((nch = read(in_fd1, &c, 1)) != 1) break;
        write(out_fd, &c, 1);
        write(in_fd2, "X", 1);
    }

    return 0;
}
```

***A silly thing to do with  
multiple file descriptors  
For example purposes only!***

in\_fd1 and in\_fd2 current  
positions are ***independent***

Copies from "input" to "output",  
1 character at a time, but ***also***  
overwrites each character in  
"input" with an "X"

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## Explicitly Moving a File Descriptor's File Offset

```
off_t lseek(int fd, off_t offset, int whence);
```

***Changes current offset for file descriptor fd to new position in the file's data***

- The new fd offset is set to “offset” number of bytes relative to “whence”
  - SEEK\_SET: fd offset is set to offset bytes (absolute)
  - SEEK\_CUR: fd offset is set to current position plus offset bytes
  - SEEK\_END: fd offset is set to the size (bytes) of the file plus offset bytes
- In general, “offset” may be negative (e.g., offset = -100, whence = SEEK\_CUR)
- Returns
  - The fd's new file offset, on success
  - -1 on any error and sets global variable errno to indicate which error

## Explicitly Moving a File Descriptor's File Offset

```
off_t lseek(int fd, off_t offset, int whence);
```



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### ***Examples***

`lseek(fd, 0, SEEK_SET)`

- “Rewinds” the file

`lseek(fd, 55, SEEK_CUR)`

- Skips forward 55 bytes

`lseek(fd, -10, SEEK_END)`

- Moves to 10 bytes before the end of the file

```

int main()
{
    char c;
    ssize_t nch;
    int in_fd, out_fd;
    off_t offset;

    in_fd = open("input", O_RDONLY);
    out_fd = open("output", O_WRONLY | O_CREAT | O_TRUNC, 0666);

    offset = lseek(in_fd, -1, SEEK_END);
    while (1) {
        if ((nch = read(in_fd, &c, 1)) != 1) break;
        write(out_fd, &c, 1);
        if (offset == 0) break;
        offset = lseek(in_fd, -2, SEEK_CUR);
    }
    return 0;
}

```

***A silly thing to do with lseek***  
***For example purposes only!***

***Copies characters from "input"***  
***to "output" but reads them in***  
***reverse order!***

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**If "input" is a copy of the program's source code, turns it into this in "output"**

```

}
;0 nruter
}
;)RUC_KEES,2-,df_ni(keesl = tesffo
;kaerb)0 == tesffo( fi
;)1,c&,df_tuo(etirw
;kaerb)1 != )1,c&,df_ni(daer = hcn(( fi
{ )1( elihw
;)DNE_KEES,1-,df_ni(keesl = tesffo
;)6660,CNURT_O | TAERC_O | YLNORW_O,"tuptuo"(nepo = df_tuo
;)YLNODR_O,"tupni"(nepo = df_ni
;tesffo t_ffo
;df_tuo,df_ni tni
;hcn t_eziss
;c rahc
{
)(niam tni

```

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## Closing a File Descriptor

```
int close(int fd);
```

### ***Closes an existing open file descriptor***

- Doesn't matter whether opened, e.g., from `open()` or `creat()` or otherwise
- Returns 0 on success
- Returns -1 on any error, such as if this file descriptor is ***not*** currently open
- Any later accesses to closed file descriptor will return -1 with `errno = EBADF`
- But this file descriptor number is now available for the next `open()`, etc.
  - That will always return the ***lowest numbered*** file descriptor number that is not currently open in this process to something else
  - Warning: this reuse of that file descriptor number can create/hide bugs if you didn't mean to close that file descriptor

## Why Open a File Before Reading/Writing It?

### ***Much more efficient than finding the file by pathname on every access***

- Example: pathname `"/usr/share/man/man2/open.2.gz"` – (from `"man 2 open"`)
  - Must search in `"/"` directory for `"usr"`, then search there for `"share"`, then search there for `"man"`, then search there for `"man2"`, and finally search there for `"open.2.gz"`
  - More efficient to do just once on the open, not on every read, write, etc.

### ***Allows the kernel to easily remember this open file's current file offset***

- Start remembering on open, keep remembering only while `fd` is open

### ***More efficient to check file protections only at file open***

- Remember verified `O_RDONLY`, `O_WRONLY`, or `O_RDWR` just like `fd` offset

## Unix File Types

- **Regular file**: The most common type of file, may contain anything, “regular”
  - All the examples we have looked at here have used only regular files
- **Directory file**: A file that gives names to files and gives the files’ locations
  - Generally the second most common type of file
- **Block special file**: A file that represents a “block”-oriented device
- **Character special file**: A file that represents a “character”-oriented device
- **FIFO**: Used for communication between processes (a “pipe” or named “pipe”)
- **Socket**: Used for network communication (e.g., over the Internet)
- **Symbolic link**: A file that gives an “alternate” name for some other file

## Getting Information About Files

```
int stat(const char *restrict pathname, struct stat *restrict statbuf);  
int fstat(int fd, struct stat *statbuf);
```

**Return metadata about a file into the “struct stat” pointed to by statbuf**

- **Metadata** is data about data, here the information about the file
- Two equivalent interfaces
  - **stat**: the file is indicated by char \*pathname (without opening the file)
  - **fstat**: the file is indicated by an already open file descriptor fd
- On Linux, the struct stat format is defined in “man 3type stat” (on other systems, generally in “man 2 stat”)

## Contents of a “struct stat”

```
struct stat {
    dev_t      st_dev;      /* ID of device on which the file resides */
    ino_t      st_ino;      /* inode number representing the file */
    mode_t     st_mode;     /* file protection and file type */
    nlink_t    st_nlink;    /* number of hard links to the file (to the file's inode) */
    uid_t      st_uid;      /* user ID of file owner */
    gid_t      st_gid;      /* group ID of file owner */
    dev_t      st_rdev;     /* device type (if the inode represents a special file) */
    off_t      st_size;     /* total size, in bytes */
    blksize_t  st_blksize;  /* “preferred” block size for filesystem I/O */
    blkcnt_t   st_blocks;   /* number of 512-byte blocks allocated */
    struct timespec st_atim; /* time of last access to file */
    struct timespec st_mtim; /* time of last modification to file data */
    struct timespec st_ctim; /* time of last status change (last change to inode) */
};
```

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```
int main()
{
    struct stat statbuf;

    fstat(STDIN_FILENO, &statbuf);
    printf("Type of file on standard input: ");
    switch (statbuf.st_mode & S_IFMT) {
        case S_IFREG:  printf("regular file\n");      break;
        case S_IFDIR:  printf("directory file\n");    break;
        case S_IFBLK:  printf("block device\n");      break;
        case S_IFCHR:  printf("character device\n");  break;
        case S_IFIFO:  printf("FIFO\n");              break;
        case S_IFSOCK: printf("socket\n");            break;
        case S_IFLNK:  printf("symbolic link\n");     break;
        default:       printf("unknown?\n");          break;
    }

    return 0;
}
```

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## open vs. Open, read vs. Read, write vs. Write, etc.

***You should always check for error returns from all kernel calls, always***

- (My examples didn't fully do this, just due to space and time considerations)
- All kernel calls return -1 on any error
  - and set global variable `errno` to tell you the problem (see “man 3 errno”)
- The textbook provides csapp “wrapper” functions to do error checking for you
- For example, `Read` vs. `read`:
  - But these always `exit(0)` the program (`unix_error` always does `exit(0)`)
  - And they print an “unfriendly” (and unhelpful?) message

```
ssize_t Read(int fd, void *buf, size_t count)
{
    ssize_t rc;
    if ((rc = read(fd, buf, count)) < 0)
        unix_error("Read error");
    return rc;
}
```